

Inc. in NSW. ABN 63 122 710 534

PO Box 276, Double Bay, NSW 1360 Phone: (02) 9327 7555 Fax: +61 2 9327 1855

judith@activelocums.com.au

### **2023 VMO Locum Application Package**

### Forms to Be Completed and returned to Active Locums Pty Ltd:

- 1. Active Locums Pty Ltd Employment Registration Form
- 2. Active Locums Pty Ltd Consent Form & Declaration
- 3. Post-Graduate Qualifications and Relevant Courses Completed (Form 7)
- 4. 2023 Criminal Record Statutory Declaration
- 5. Statutory Declaration for overseas applicants (if applicable)
- 6. Commonwealth of Australia Statutory Declaration
- 7. National Criminal Record Check Consent Form and certified identification or NSW Police History check
- 8. Pre-Employment Health Assessment, Form 6 (New Recruit Undertaking/Declaration), Form 7 (TB Assessment), Form 9 (Hep B) and Vaccination/Serology Records (Category A and Category A High Risk compliance)
- 9. Model Health Declaration form
- 10. Signed PD2015 049 NSW Health Code of Conduct
- 11. Standard consent form: Employment related checks
- 12. Drugs of Addiction Authority
- 13. VMO Sessional Input Form/superannuation fund details
- 14. Privacy Policy

### Documents to be Included by Locum:

- 1. Current CV
- 2. Contact details of 3 clinical referees who would be prepared to vouch for your competency
- 3. JP certified copies of qualifications or transcripts and specialist qualifications if applicable and proof of CME
- 4. Medical (AHPRA) registration
- 5. Medical indemnity for current year
- 6. Clearance number from the Office of the Children's Guardian regarding clearance to work with children AND consent form to access and verify WWCC records on the Commission's website and to provide clearance proof to facilities locums are intending to work in
- 7. National Police Check certificate please apply for an AFP or NSW Police clearance and supply it to Active Locums Pty Ltd please ensure you apply for Medical Practitioner Vulnerable Persons, Aged Care, Disabled and Children
- 8. JP certified ID (passport, drivers licence, visa, proof of change of name, medicare, academic transcript or alternative)
- 9. Vaccination/serology and evidence of Category A compliance, Forms 6, 7 and 9
- 10. Certificates of online training: Fire Safety and Evacuation, Privacy, Open Disclosure, Hand Hygiene, Infection Control and Prevention Strategies for Medical Officers, Work Health and Safety for Medical Officers, Safety and Quality for Medical Officers, Violence Prevention and Management for Medical Officers, Care Coordination for Medical Officers, DETECT, Basic Life Support (online), PPE and eMeds, eMR

#### Office of the Children's Guardian Working with Children Clearance may be obtained by:

- 1. Go to Office of the Children's Guardian website (https://www.service.nsw.gov.au/transaction/apply-for-a-working-with-children-check and apply for an ID number.
- 2. Go to your local Service NSW office to submit 100-point ID, which will then be electronically transmitted to the Commission. There is a cost of \$80 which will cover clearance for 5 years.
- 3. The Office of the Children's Guardian will issue a letter stating that you are able to work in a child-related position or barred from working in a child-related position. Agencies and employers must verify your status prior to accepting you for work.
- 4. Office of the Children's Guardian will continuously monitor all individuals for a 5-year period for any breaches.
- 5. Provide Active Locums with consent to access your Working with Children status on the Office of the Children's Guardian website and to provide it to any facility that you are applying to work in.
- An employment placement service must not charge a job seeker a fee for the purpose of finding the job seeker employment.
- An employment placement service must not engage in misleading or deceptive conduct (such as advertising a position as being available when the agency knows no such position exists or knowingly giving misleading information about the nature of a position)
- If a job seeker believes that an employment placement service has acted inappropriately, the job seeker may contact NSW Fair Trading for information on possible action that may be taken.



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### **CONSENT FORM & DECLARATION 2023**

I	acknowledge that I am a locum doctor registered with Active Locums Pty Ltd.					
	clare that:					
1)	I have full (unconditional) AHPRA registration to practise medicine in Australia.  MED					
	I am not aware of any investigation into my registration that would compromise my ability to accept work.  I have /have not been investigated by any medical board/council or suspended from duty. I have not been convicted of a crime that may affect my application to work as a doctor.  I have not had my registration cancelled for any reasons and am not subject to any restrictions or conditions.  If applicable, condition/restriction details are:					
2)	<ul> <li>a) I do not have any serious or chronic health problems that will affect my ability to carry out my work as a doctor, OR</li> <li>b) I have a health condition that may require an employer to provide me with services or adjustments so that I can successfully carry out the inherent requirements and job demands of the position, and I have disclosed this to Active Locums Pty Ltd.</li> </ul>					
3)	I am an <b>Australian citizen</b> and I am legally able to work in Australia, or have provided details of <b>permanent residency or current work visa</b> . If I am an Australian visa holder I give consent for Active Locums Pty Ltd to access VEVO online upon registration and every 3 months or as required to check my status. Details:					
4)	I have current Medical Indemnity insurance with Category Renewal date: The insurance effected by me is adequate to cover any liability I may incur in the course of my locum work as a medical practitioner. I am /am not aware of any outstanding medical negligence claims against me. If yes, give details					
5)	I have a current clearance for Working with Children and have supplied this to Active Locums Pty Ltd. My status permits me to work in a child-related position Yes / No .  OR I will apply for a Working with Children Clearance and will provide proof of clearance to Active Locums Pty Ltd. I undertake to notify Active Locums Pty Ltd of any change to my status in regard to Working with Children. I give permission for Active Locums Pty Ltd to access and check my Working with Children status on the Office of the Children's Guardian website.					
6)	I am prepared to undergo mandatory National Criminal Record Checking and agree to notify Active Locums Pty Ltd if I am under investigation, charged or convicted of any offences. I consent to Active Locums Pty Ltd providing copies of National Criminal Record Clearance and WWCC Clearance Numbers to prospective employers for the purpose of obtaining work. Duty to report certain criminal conduct and disciplinary matters – a doctor who is charged with having committed, or is convicted of, a serious sex or violence offence must, within seven days of the charge being laid or conviction, report that fact in writing to the chief executive of the relevant organisation/Active Locums Pty Ltd. I will sign an annual ongoing statutory declaration in regard to WWCC/NCRC.					
7)	My prescriber number is I am eligible to apply for a provider number <b>Yes</b> / <b>No</b> .					
8)	My ABN is I am registered to claim GST <b>Yes / No</b> .					
9)	If I am working through a Pty Ltd company, I agree to provide a copy of current professional indemnity, worker's compensation and public liability insurances. If I am working as a sole trader ABN, I confirm that I have suitable professional indemnity and income protection insurance, which is adequate to cover the work undertaken. I acknowledge that Active Locums Pty Ltd recommends that all locum doctors maintain appropriate private medical indemnity insurance.					
10)	In consideration of Active Locums Pty Ltd agreeing to try and place me in a position, I agree to indemnify Active Locums Pty Ltd and its directors, employees and representatives, against any claims made against any of them relating to medical negligence, dishonesty or otherwise, which may arise in connection with any engagement or employment I may accept that is arranged by Active Locums Pty Ltd.					
11)	I understand that Active Locums Pty Ltd has no responsibility to employ or pay me. I will either be employed by a Local Health District or will work as an independent contractor, issuing a tax invoice to the employer/host client through my own ABN or entity.					
12)	I undertake to work to the best of my ability with due diligence, punctuality, honesty, courtesy and care. I undertake to dress and behave appropriately. I undertake to provide certificates of annual CME/EMST/ALS/APLS courses undertaken. I undertake not to attend work impaired by alcohol or drugs.					

I undertake to notify Active Locums Pty Ltd within seven days if an adverse event or situation occurs which could result in any disciplinary or legal action or compromise the status of my medical registration.

I undertake to notify Active Locums Pty Ltd as soon as practicable about my inability to work a confirmed shift.

I have no objection to Active Locums Pty Ltd obtaining regular performance appraisal feedback reports from the employing organisation for quality control purposes.

I understand my employment could be terminated if my work or attitude is deemed to be unsatisfactory by the employer or if my Medical Registration/Medical Indemnity is altered or compromised in any way.

- 13) I am aware of pandemic requirements and agree to familiarise myself on a regular ongoing basis with NSW Health and NSW/Australian Government notifications regarding any infectious or communicable disease. I agree to abide by any requirements advised or as directed by NSW Health, any employing or engaging hospital or practice when undertaking locum work including getting COVID-19 tested as required or requested, completing risk assessment forms, advising if I am unwell or have any symptoms either prior to attending work or if already at work, advising if I have come into contact with anyone who is COVID positive, self- isolating as required, abiding by interstate and international travel requirements. I hereby give consent for Active Locums Pty Ltd to provide my COVID vaccination certificate or COVID APP printout as part of my credentialing paperwork for a locum position. <a href="https://www.health.nsw.gov.au/Pages/default.aspx">https://www.health.nsw.gov.au/Pages/default.aspx</a>.
- 14) I consent to Active Locums Pty Ltd undertaking regular clinical reference checks with my referees as nominated or agreed.
- 15) I consent to Active Locums Pty Ltd providing copies of my CV, AHPRA Registration, Medical Indemnity, references, proof of identification, health paperwork and any other documentation required to any prospective employer for the purposes of obtaining work, and disclosing any personal information to the auditors of Global Mark, our insurers for insurance purposes and to any Government, regulatory authorities or Tribunal to comply with the process of auditing/accreditation, insurance or as authorised by law.
- 16) I have read, understand and accept Active Locums Pty Ltd's Privacy Policy.

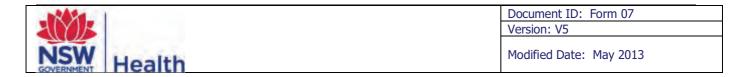
### FOR HOSPITAL DOCTORS ONLY (Doctors undertaking only GP work can strike this section out)

- 17) Please initial each point below to acknowledge awareness and agreement of these mandatory requirements from NSW Health:
  - I have read and agree to abide by all relevant NSW Health Policies, as updated from time to time.
  - I have read and understood the NSW Secondary Employment Policy and agree to abide by it.
  - The Local Health District where I am employed is aware of my intention to undertake locum work. They have supplied written consent for Secondary Employment and have no objection, provided it does not raise any conflicts of interests, WHS, or service delivery risks that could arise as a result of secondary employment.
  - I am aware of the need to comply with safe working hours guidelines and preventing and managing work related fatigue (GL2007 023) and the need to take minimum breaks, including taking a break before commencing an engagement.
  - I have undertaken or am prepared to undergo online training modules in: Privacy Module 1 Know Your Boundaries, Open Disclosure, Fire Safety and Evacuation, Basic Life Support Adult, Between the Flags: Deteriorating Patient Adult Patients (Medical), Aboriginal Culture Respecting the Difference, Safety and Quality for Medical Officers (134533194), Infection Prevention Strategies for Medical Officers (111885084), Violence Prevention and Management for Medical Officers (134534659), Medical Officer eMR online (Fundamental eMR Medical Pathway), eMeds Medical Pathway (NSW Health Code of Conduct, Hand Hygiene and any future online training requirements as requested
  - I am aware of and agree to abide by WHS legislation on manual handling.
  - I have completed the Employment Health Assessment, Form 6, Form 7, and have provided vaccination and serology records. I acknowledge PD2005\_162, will have annual blood serology and am aware doctors who are either HCV PCR positive or HIV positive or HBeAg positive or HBV DNA positive must not perform exposure prone procedures and must self-disclose to their employing facility. I give consent for my COVID vaccination certificate to be provided in application of locum work.
  - I am aware that I may be required to supervise Junior Medical Officers.
  - I am aware that I am required to perform my engagement in accordance with accepted medical practice and at the direction of the customer.
  - I am not aware of any reasons that would disqualify me from accepting locum work for NSW Health.
  - I am mentally, physically and professionally able to perform my duties as a medical officer.
  - I am aware that prior to commencing work for NSW Health, an internal service check will be conducted.
  - I will/have provided three recent references to Active Locums Pty Ltd to confirm my competency for the positions applied for.

### **Additional Clauses:**

- 1. An employment placement service must not charge a job seeker a fee for the purpose of finding the job seeker employment.
- 2. An employment placement service must not engage in misleading or deceptive conduct (such as advertising a position as being available when the agency knows no such position exists or knowingly giving misleading information about the nature of a position).
- If a job seeker believes that an employment placement service has acted inappropriately, the job seeker may contact NSW Fair Trading for information on possible action that may be taken.

Signed:	Date:	



# Clinical Skills Assessment, Post-Graduate Qualifications and Relevant Courses Completed

This document must be completed by the Locum Agency and forwarded to the Local Health District

Locum name	Date	
Locum contact		
Agency		
Agency signature	Print nam	e

This list with certified copies of the qualifications is to be provided to the LHD

Post-Graduate Qualification	College / Institution	Verified (X for yes)
		Original Documents Sighted



## **Document 5: Criminal Record Statutory Declaration - Australia**

To be completed by a Locum Medical Officer in relation to requirement 5 of the Locum Medical Officer Pre-Placement Checklist (Form 1).

(1) Name, address and occupation of person making the declaration.	l,
	do solemnly and sincerely declare that since the date on which my National Criminal Record Check was conducted (date of National Police Certificate):
	1. I do not have any criminal convictions or pending charges $\ \square$
	2. I have the following criminal convictions or pending charges:
	I make this solemn declaration by virtue of the Statutory Declarations Act 1959 as amended and subject to the penalties provided by that Act for the making of false statements in statutory declarations, conscientiously believing the statements contained in this declaration to be true in every particular.
(2) Signature of	
person making the declaration.	Declared at
	On
(3) Signature of person before whom the declaration is made.	
(4) Title of person before whom the declaration is made.	



### **Document 5: Criminal Record Statutory Declaration - Australia**

**NOTE 1**.-A person who wilfully makes a false statement in a statutory declaration under the Statutory Declarations Act 1959 as amended is guilty of an offence against that Act, the punishment making a false statement is 4 years imprisonment.

**NOTE 2.**—A statutory declaration under the Statutory Declarations Act 1959 as amended may be made only before a Chief, Police, Resident or Special Magistrate; Stipendiary Magistrate or any Magistrate in respect of whose office an annual salary is payable; a Justice of the Peace; a person authorised under any law in force in Australia to take affidavits; a person appointed under the Statutory Declarations Act 1959 as amended or under a State Act to be a Commissioner for Declarations; a person appointed as a Commissioner for Declarations under the Statutory Declarations Act 1959, or under that Act as amended, and holding office immediately before the commencement of the Statutory Declarations Act 1959; a Notary Public; a person before whom a statutory declaration may be made under the law of the State in which a declaration is made; or a person appointed to hold, or act in, the office in a country or place outside Australia or the Australian Consul General, Consul, Vice-Consul, Trade Commissioner, Consular Agent, Ambassador, High Commissioner, Minister, Head of Mission, Commissioner, Charge d'Affaires, or Counsellor, Secretary or Attaché' at an Embassy, High Commissioner's office, Legation or other post.



### STATUTORY DECLARATION

### OATHS ACT 1900, NSW, EIGHTH SCHEDULE

(for overseas applicants or students)

Date of charge/conviction	Details of pending charge or co	onviction Country	Penalty / Sentence
and I make this soler	nn declaration conscientiously bel	ieving the same to be true.	and by virtue of the provisions of
the Oaths Act 1900.		to the sum of the second of	and of three of the protions of
Declared at:	on		
	[place]	[date]	
		[signature of deci	  arant
in the presence of an	authorised witness, who states:		,
	, a		
[name of	authorised witness]	[qualification of au	thorised witness]
-	matters concerning the making of		_
	of the person OR *I did not see	_	-
	am satisfied that the person had a state the person for at least 12 months		
	the person's identity using an ide		person for at least 12 months, but
	he document I relied on was		
		[describe identification d	

NOTE 1.-A person who intentionally makes a false statement in a statutory declaration is guilty of an offence, the punishment for which is imprisonment for a term of 5 years – see section 25 of the *Oaths Act 1900 (NSW)*.

NOTE 2.-A statutory declaration under the *Oaths Act 1900 (NSW)* may be made only before a Justice of the Peace; a Legal Practitioner; a Judicial Officer; or a person authorised to witness a declaration in the jurisdiction in which it is sworn.

NOTE 3 - *identification document* means either a primary identification document within the meaning of the <u>Real Property Regulation 2008</u>, or a Medicare card, pensioner concession card, Department of Veterans' Affairs entitlement card or other entitlement card issued by the Commonwealth or a State Government, a credit card or account (or a passbook or statement of account) from a bank, building society or credit union, an electoral enrolment card or other evidence of enrolment as an elector, or a student identity card, or a certificate or statement of enrolment, from an educational institution.

NOTE 4: Applicants for aged care work must use the Commonwealth Aged Care Statutory Declaration

# Commonwealth of Australia STATUTORY DECLARATION

### Statutory Declarations Act 1959

 Insert the name, address and occupation of person making the declaration I,<sup>1</sup>

make the following declaration under the Statutory Declarations Act 1959:

2 Set out matter declared to in numbered paragraphs

1. I declare that (place a tick or cross in applicable box):

Since turning 16 years of age, I have been a citizen or permanent resident of a country/countries other than Australia.

Since turning 16 years of age, I have never been a citizen or permanent resident of a country/countries other than Australia.

### 2. I declare that I have never been:

- (a) convicted of murder or sexual assault; or
- (b) convicted of, and sentenced to imprisonment for, any other form of assault.

I acknowledge that continued employment with a NSW Health agency is conditional upon a satisfactory outcome of the check which I have consented to.

I understand that a person who intentionally makes a false statement in a statutory declaration is guilty of an offence under section 11 of the *Statutory Declarations Act 1959*, and I believe that the statements in this declaration are true in every particular.

3 Signature of person making the declaration

J

4 Place 5 Day

5 Day6 Month and year

6 Month and year

7 Signature of person before whom the declaration is made (see over)

8 Full name, qualification and address of person before whom the declaration is made (in printed letters) Declared at 4

on <sup>5</sup>

of <sup>6</sup>

Before me,

8

Note 1 A person who intentionally makes a false statement in a statutory declaration is guilty of an offence, the punishment for which is imprisonment for a term of 4 years — see section 11 of the Statutory Declarations Act 1959.

Note 2 Chapter 2 of the Criminal Code applies to all offences against the Statutory Declarations Act 1959 — see section 5A of the Statutory Declarations Act 1959.

# Appendix 5 - Identification Checklist for consent to undertake a National Police Check (NPC)



### **Instructions**

- a) To lodge a National Police Check (NPC), original identifying documents must be sighted (scanned or photocopied certified copies are not acceptable), checked against each other and the applicant for linkage and consistency (refer Information Sheet on NSW Health Intranet) and against the applicant's completed NSW Health NPC Consent Form and this Checklist completed. This must be by a NSW Health staff member (as appropriately delegated). There is no requirement to retain copies of the identification documents.
- b) The combination of documents sighted must include the applicant's full name, date of birth and photograph: If the applicant is unable to provide a photo in a *Commencement of identity/Primary Use in Community document*, a passport-style photograph certified by a person listed in Schedule 2 of the *Statutory Declarations Regulations 1993 (Cth)* must be provided.
- c) As a minimum requirement, the applicant must provide four identity documents:
  - one 'commencement of identity' document (Section 1 below)
  - > one 'primary use in the community' document (Section 2 below)
  - > two 'secondary use in the community' document (Section 3 below)

If they cannot meet these requirements, refer to the Special Provisions (Section 4 below)

- d) **Change of Name-** If an applicant provides identity documents using a former name, such as a maiden name, they must provide evidence of the name change in addition to the four identity documents. This means providing a:
  - change of name certificate issued by an Overseas Government Agency or Australian Registry of Births, Deaths and Marriages or
  - Marriage certificate issued by an Overseas Government Agency or an Australian state or territory (church or celebrant issued certificates are not accepted) or
  - Deed Poll.

e)	Evidence of ability to work in Australia: If the documents do not include an Australian /New Zealand passport or
	Australian birth/citizenship certificate, a valid visa or work permit allowing the person to work in Australia must be
	sighted.

Applicant's Full Name:	
------------------------	--

Description of document	Full name on document (including middle names)	Date issued	Place/ Office of issue/ issuing organisation		Checked Against NPC Consent Form	Document Type(eg Commencement, Primary or Secondary)
Mandatory reco	rd of document sighted that confirm	n person's	ability to work in Au	ıstralia		

I have checked the details provided above against the applicant's National Police Check consent form as required at point (a) above, and I confirm:

- the names in the ID documents are the same (or are linked), address details are consistent and signatures and photos match and are the same as the person presenting them, and
- > the names in the ID documents are included in the consent form, and
- > any reference numbers for documents detailed in the consent form match those I have sighted today, and
- > the applicant has provided evidence that they are allowed to work in Australia, as required at point (e) above

I have confirm	med with the applicant that all aliases /	former / middle names are include	ded in the consent form.
Full Name:		Position:	Employee Number:
NSW Health	Organisation:	Signature:	Date:

# Appendix 5 - Identification Checklist for consent to undertake a National Police Check (NPC)



### Documents

### **Section 1: Commencement Documents**

- a) Full **Australian Birth Certificate** (not extract or birth card)
- b) Current Australian passport (not expired)
- c) Australian Visa current at the time of entry to Australia as a resident or tourist
- d) **ImmiCard** issued by Immigration and Border Protection that enables the cardholder to prove their visa and/or migration status and enrol in services
- e) Certificate of Identity issued by Foreign Affairs and Trade to refugees and non-Australian citizens for entry to Australia
- f) **Document of Identity** issued by Foreign Affairs and Trade to Australian citizens or persons who have the nationality of a Commonwealth country for travel purposes
- g) Certificate of evidence of resident status.

### **Section 2: Primary Use in the Community Documents**

- a) Current **Australian drivers licence**, learner permit or provisional licence issued by a state or territory, showing a signature and /or photo and the same name as claimed.
- b) **Australian marriage certificate** issued by a state or territory (church or celebrant-issued certificates are not accepted)
- c) Current passport issued by a country other than Australia with a valid entry stamp or visa
- d) Current **proof of age** or **photo identity card** issued by an Australian Government agency in the name of the applicant, with a signature and photo
- e) Current **shooters** or **firearms licence** showing a signature and photo (not minor or junior permit or licence)
- f) For persons under 18 years of age with no other Primary use in the Community Documents, a **current student identification card** with a signature or photo.

### Section 3: Secondary Use in the Community documents

- a) **Certificate of identity** issued by Foreign Affairs and Trade.
- b) **Document of identity** issued by Foreign Affairs and Trade.
- c) Convention travel document secondary (United Nations) issued by Foreign Affairs and Trade
- d) Foreign Government issued documents (for example -drivers licence)
- e) Medicare Card
- f) Enrolment with the Australian Electoral Commission
- g) Security Guard or Crowd Control photo licence
- h) Evidence of right to an Australian Government Benefit (Centrelink or Veterans' Affairs)
- i) Consular Photo Identity Card issued by Foreign Affairs and Trade
- j) Photo Identity Card issued to an officer by a Police Force
- k) Photo Identity Card issued by the Australian Defence Force
- I) Photo Identity Card issued by the Australian Government or a state or territory government
- m) Aviation Security Identification Card
- n) Maritime Security Identification Card
- o) Credit Reference Check
- p) Australian Tertiary student photo identity document
- q) Australian secondary student photo identity document
- r) Certified **Academic Transcript** from an Australian University
- s) Trusted Referees report
- t) Bank Card
- u) Credit Card

### Translation of identity documents to English

If an identity document is provided in a language other than English, an accredited translation must be obtained from the National Accreditation Authority for Translators and Interpreters.

# Appendix 5 - Identification Checklist for consent to undertake a National Police Check (NPC)



### Section 4 - Special Provisions

### Special Provision 1 – where the applicant cannot provide from all three categories

Where the applicant cannot provide a:

- Commencement in the Community document, they must provide:
  - > One primary use in the community document and
  - Three secondary use in the community documents, one of which must contain a photograph
- Primary use in the Community document, they must provide:
  - > One commencement of identity document and
  - > Three secondary use in the community documents
- Secondary use in the community document, they must provide:
  - > One commencement of identity document and
  - > Three primary use in the community documents

At least one document provided must contain proof of the applicant's full name, date of birth and photograph. If the applicant cannot provide a document with a photograph, they must submit a passport-style photograph certified by a person listed in Schedule 2 of the *Statutory Declarations Regulations 1993 (Cth)*.

### Special Provision 2 – Verification of an applicants claimed identity by an authorised referee

If the applicant cannot provide any identity documents from the three special provision categories, they must provide a:

- Passport-style photograph certified by a person listed in Schedule 2 of the Statutory Declarations Regulations 1993 (Cth) and
- ➤ An authorised referee report that substantiates their claim

The authorised referee must:

- Meet the Australian Criminal Intelligence Commission's authorised referee requirements
- Complete the required sections in the special provisions form, including a declaration
- Provide, if requested, a written reference confirming the applicant's identity on company or/organisation letterhead.

# Special Provision 3 – Verification of the applicant's identity with a parent, legal guardian or authorised agent

Access to this provisions is restricted to applicants who:

- are under 18 years of age
- have a disability requiring part or full-time care from an authorised agent
- were raised in foster care or similar arrangements

To meet the requirements of Special provision 3, the applicant, parent, legal guardian or authorised agent must all meet these minimum proof of identity requirements.

Applicant must provide:

> one identity document containing a photograph from the primary use in community or commencement of identity categories. If they cannot, they must submit a passport-style photograph certified by a person listed in Schedule 2 of the Statutory Declarations Regulations 1993 (Cth).

Parent, legal guardian or authorised agent must:

- > confirm their own identity by meeting the minimum proof of identity requirements and
- provide a documentary link between the child and the parent or legal guardian, such as the child's birth certificate or provide a documentary link between the applicant and their authorised agent, such as a signed power of attorney.

# Special Provision 4 – limited to overseas applicants not in the country at the time of the check Applicant must provide:

- a current overseas passport and
- three of any of the following: a foreign driver's licence, a birth certificate, bank card, evidence of qualifications from a tertiary institution, utility bill, government issued ID card, evidence of membership of professional association

Certified copies of original documents must be sighted before lodgement of NPC and originals provided after arrival in NSW.

National Criminal Record Check Consent Form

### NATIONAL CRIMINAL RECORD CHECK CONSENT FORM

Please read the General Information sheet attached and compete all sections of this Form. Provide all names which you are currently known by, or have ever been known by, including aliases and any name changes, including by Marriage or by Deed Poll. NSW Health is required to sight your <u>original</u> identifying documents as per NSW Health's 100 point ID Checklist.

Is this a renewal check (Aged Care Only) \Boxed{\text{Yes}} Yes \Boxed{\text{NS}} No

		Family Nan	пе		ren Name mary)	Given Na	ıme 2	Given I	Name 3
Primary Name									
Maiden Name									
Previous/Alias	Name 1								
Previous/Alias	Name 2								
Previous/Alias	Name 3								
Previous/Alias	Name 4								
Gender	□ Male	☐ Female	☐ Other	Di	ate of Birth			(dd/mr	n/yyyy)
		Suburb/Tow				,		(dd/iiii	111/9999/
Place of Birth		State:	<u> </u>		Country:				
		No/Street:							
Current Reside	ential	Suburb/Tow	n:						
Address		State:		Postco	ode:	Country:			
Postal Address (if same as Residential Addres		ss, write " <b>As Ab</b>	ove")						
Previous Address (over the I		last 5 years) - I	f full details of p	revious addre	esses are unavailable	e, names of t	owns and Stat	es/Territori	es of residence
Previous	No/Street:							Residence	
Address	dress Suburb/Tov								
(if any)			Postcode:		Country:	770m. 70.			70.
Previous	No/Street:						Period o	of Resider	nce
Address (if any)	Suburb/To	wn:	D t l	From:				То:	
. ,,	State: No/Street:		Postcode:		Country:		Dariad	Period of Residence	
Previous Address	Suburb/To	Marin'					Period	oi Resider	ice
(if any)	State:	WII.	Postcode:		Country:			From: To:	
Email					•		I		
Telephone No Mobile: B		Business:	:	Priva	ite:				
Position					Type of Positi	on P	aid 🗌 Vo	olunteer	☐ Other
If you have used one of these do			fy your identity,	please fill in t					
Driver's Licence (Number)					Issuing Stat				
Firearms Licer	nce (Numbe	er)		<b>T</b>	Issuing Age	ency:			
Passport Deta	Passport Details (Number				ivate	nent Is	ssuing Coun	try:	

- 1. I acknowledge that I have read the General Information sheet and understand that Spent Convictions Legislation, in the Criminal Records Act 1991 in the Commonwealth and many States and Territories protects "spent convictions" from disclosure and understand that the position for which I am being considered may be in a category for which exclusions from Spent Convictions legislation apply.
- 2. I have fully completed this Form, and the personal information I have provided in it relates to me, contains my full name and all names currently and previously used by me, and is correct;
- I acknowledge that the provision of false or misleading information is a serious offence and acknowledge NSW Health is collecting information in this Form to provide to CrimTrac Agency (an Agency of the Commonwealth of Australia) and the Australian Police Agencies.
- 4. I consent to:
  - i. NSW Health forwarding details obtained from this form to the CrimTrac Agency and to Australian police agencies or other relevant law enforcement agencies, if required.



### National Criminal Record Check Consent Form

- 5. I consent to:
  - i. The CrimTrac Agency disclosing personal information about me to the Australian police agencies;
  - ii. The Australian police agencies disclosing to CrimTrac Agency, from their records, details of convictions and outstanding charges, including findings of guilt or the acceptance of a plea of guilty by a court, that can be disclosed in accordance with the laws of the Commonwealth and States and Territories and, in the absence of any laws governing disclosure of this information, disclosing in accordance with the policies of the police agency concerned; and
  - iii. The CrimTrac Agency providing the information disclosed by the Australian police agencies, to NSW Health in accordance with the laws of the Commonwealth so that NSW Health may assess my suitability in relation to my employment
  - iv. : and
- 6. I acknowledge that any information provided by me on this form and information provided by the Australian police agencies or the CrimTrac Agency relates specifically .to the position detailed above.
- 7. ; and
- 8. I acknowledge that it is usual practice for an applicant's personal information to be disclosed to the Australian police agencies for use for their respective law enforcement purposes including the investigation of any outstanding criminal offences.

I am aware that if any such records are identified, NSW Health may seek additional information relating to that record from sources such as courts, police, prosecutors and past employers. I understand that the purpose of seeking this information is to enable a full and informed employment risk assessment and that where other information is available, NSW Health will obtain that information for employment risk assessment purposes only. I acknowledge that any information obtained as part of this process may be used by Australian Police Services for law enforcement purposes including the investigation of any outstanding criminal offences.

Note: The information you provide on this form, and which the CrimTrac Agency provides to NSW Health on receipt of this Form, will only be used for the purposes stated above, unless statutory obligations require otherwise.

Applicant's Name:	Signature:	Date:
Parent/Guardian Conser	nt - If you are under 18 years of age, a parent	or guardian must provide consent.
Parent / Guardian Details		
Name (printed in full):	Signature:	Date:



### **GENERAL INFORMATION - National Criminal Record Check Consent Form**

This Form is used by NSW Health as part of the assessment process to determine whether a person is suitable for employment or other engagement for work.

Unless statutory obligations require otherwise, the information provided on this Form will not be used without your prior consent for any purpose other than in relation to the assessment by NSW Health of your suitability for the position identified in the consent form. You may be required to complete another consent form in the future in relation to employment in other positions.

#### NATIONAL CRIMINAL RECORD CHECK

a) National criminal record checks are an integral part of the assessment of your suitability. You should note that the existence of a record does not mean you will be assessed automatically as being unsuitable. Each case will be assessed on its merit, so it is in your interest to provide full and frank details on this form. Information extracted from the Form will be forwarded to the CrimTrac Agency and to the Australian State and Territory police agencies for checking action. By signing this Form you are consenting to these agencies accessing their records to obtain and to disclose criminal history information that relates to you to NSW Health.

Criminal history information may include outstanding charges, and criminal convictions/findings of guilt recorded against you that may be disclosed according to the laws of the relevant jurisdiction and, in the absence of any laws governing the release of that information, according to the relevant jurisdiction's information release policy.

#### SPENT CONVICTION SCHEMES

The aim of Spent Convictions legislation is to prevent discrimination on the basis of certain previous convictions. Spent conviction legislation limits the use and disclosure of older, less serious convictions and findings of guilt.

Spent convictions of specific offences will be released where the check is required for certain purposes regardless of how old the convictions are. Each Australian police agency will apply the relevant Spent Convictions legislation/information release policy prior to disclosure. If further information or clarification is required please contact the individual police agency directly for further information about their release policies and any legislation that affects them.

#### COMMONWEALTH

Part VIIC of the Crimes Act 1914 (Cth) deals with aspects of the collection, use and disclosure of old conviction information. The main element of this law is a "Spent Convictions Scheme." The aim of the Scheme is to prevent discrimination on the basis of certain previous convictions, once a waiting period (usually 10 years) has passed and provided the individual has not reoffended during this period. The Scheme also covers situations where an individual has had a conviction "quashed" or has been "pardoned." A "spent conviction" is a conviction of a Commonwealth, Territory, State or foreign offence that satisfies all of the following conditions:

- It is 10 years since the date of the conviction (or 5 years for juvenile offenders); AND
- the individual was not sentenced to imprisonment or was not sentenced to imprisonment for more than 30 months; AND
- the individual has not re-offended during the 10 years (5 years for juvenile offenders) waiting period; AND
- a statutory or prescribed exclusion does not apply. (A full list of exclusions is available from the Office of the Australian Information Commissioner).

#### **NEW SOUTH WALES**

In New South Wales the *Criminal Records Act 1991* (NSW) governs the effect of a person's conviction for a relatively minor offence if the person completes a period of crime-free behaviour, and makes provision with respect to quashed convictions and pardons.

A "quashed" conviction is a conviction that has been set aside by the Court. A "pardon" means a free and absolute pardon that has been granted to a person because they were wrongly convicted of a Commonwealth, Territory, State or foreign offence.

In relation to NSW convictions, a conviction generally becomes a "spent conviction" if a person has had a ten year crime-free period from the date of the conviction. However, certain convictions may not become spent convictions. These include:

- where a prison sentence of more than 6 months has been imposed (periodic or home detention is not considered a prison sentence);
- convictions imposed against companies and other corporate bodies;
- sexual offences pursuant to the Criminal Records Act 1991; and
- convictions prescribed by the Regulations.



### **GENERAL INFORMATION - National Criminal Record Check Consent Form**

#### Queensland

Under the Criminal Law (Rehabilitation of Offenders) Act 1986 (Qld) a conviction automatically becomes spent upon completion of the prescribed (rehabilitation) period. This period is:

- 10 years for convictions of indictable offences where the offender was an adult at the time of conviction; and
- 5 years for other convictions (summary offences or where the offender was a juvenile).

Where a person is convicted of a subsequent offence (an offence other than a simple or regulatory offence) during the rehabilitation period, the period runs from the date of the subsequent conviction.

Convictions where the offender is sentenced to more than 30 months imprisonment (whether or not that sentence is suspended) are excluded from the regime.

Once the rehabilitation period has expired, it is lawful for a person to deny (including under oath) that the person has been convicted of the offence, and the conviction must be disregarded for occupational licensing purposes (subject to certain exceptions, see below). It is unlawful for any person to disclose the conviction unless:

- the convicted person consents:
- the Minister has granted a permit authorising disclosure (where there is a legitimate and sufficient purpose for disclosing);
- the disclosure is subject to an exemption.

#### South Australia

Release of information on a National Police Check is governed by the Spent Convictions Act 2009 (SA). It is an offence to release information regarding the convictions of a person if those convictions are deemed to be 'spent' under the Act.

A spent conviction is one that cannot be disclosed or taken into consideration for any purpose. Eligible convictions become spent following a 10-year conviction and proven offence-free period for adults, and a 5-year conviction and proven offence-free period for juveniles. The Act defines a conviction as:

- a formal finding of guilt by a Court;
- a finding by a Court that an offence has been proved.

Certain convictions can never be spent. These include but are not limited to:

- · convictions of sex offences;
- convictions where a sentence is imposed of more than 12 months
- imprisonment for an adult, or 24 months imprisonment for a juvenile.

Schedule 1 of the Act sets out a number of exceptions to the rule where spent convictions can be released. Some examples of this include: the care of children; the care of vulnerable people (including the aged and persons with a disability, illness or impairment); activities associated with statutory character tests for licensing. Interstate offences are released in accordance with that State or Territory's spent conviction / rehabilitation legislation and policy. Intelligence-type information is not released.

### **Victoria Police**

For the purposes of employment, voluntary work or occupational licensing/ registration, police may restrict the release of a person's police record according to the Victoria Police "Information Release Policy." If you have a police record, the "Information Release Policy" may take into account the age of the police record and the purpose for which the information is being released. If 10 years have elapsed since you were last found guilty of an offence, police will, in most instances, advise that you have no disclosable court outcomes. However, a record over 10 years may be released if:

- it includes a term of imprisonment longer than 30 months;
- it includes a serious, violent or sexual offence and the check is for the purpose of working with children, elderly people or disabled people;
- it is in the interests of crime prevention or public safety.

Findings of guilt without conviction and good behaviour bonds may be released. Recent charges or outstanding matters under investigation that have not yet gone to court may also be released.

#### Western Australia

Under Section 7(1) of the Spent Convictions Act 1988 (WA) only "lesser convictions" can be spent by Western Australia Police, after a time period of 10 years plus any term of imprisonment that may have been imposed. A lesser conviction is one for which imprisonment of 12 months or less, or a fine of less than \$15,000 was imposed.

All other convictions, such as "serious convictions" applicable under Section 6 of the Act can only be spent by applying to the District Court. At the time of sentencing, the Court may make a "spent conviction order" under the Sentencing Act 1995 (WA) that the conviction is a spent conviction for the purposes of the Spent Convictions Act 1988 (WA).



#### **GENERAL INFORMATION - National Criminal Record Check Consent Form**

#### **Northern Territory**

Under the Criminal Records (Spent Convictions) Act 1992 (NT), a conviction becomes spent automatically (in the case of an adult or juvenile offender convicted in a Juvenile Court) and by application to the Police Commissioner (in the case of a juvenile convicted in an adult court) upon completion of the prescribed period. The prescribed period is:

- 10 years for offences committed while an adult; and
- 5 years for offences committed as a juvenile.

The period starts on completion of any sentence of imprisonment. A subsequent traffic conviction is only taken into account for prior traffic offences (except more serious traffic offences which cause injury or death). Once a conviction becomes spent:

- a person is not required to disclose the existence of the conviction;
- questions relating to convictions and a person's criminal record will be taken only to apply to unspent convictions;
- it is unlawful for another person to disclose the existence of a spent conviction except as authorised by the Act;
- spent convictions are not to be taken account in making decisions about the convicted person's character or fitness.

### **Australian Capital Territory**

Generally, under the Spent Convictions Act 2000 (ACT), a conviction becomes spent automatically at the completion of the prescribed (crime-free) period.

This period is:

- 10 years for convictions recorded as an adult; or
- 5 years for convictions recorded as a juvenile

The period begins to run from the date a sentence of imprisonment is completed, or, where no sentence of imprisonment is imposed, from the date of conviction. A person must not be subject to a control order or convicted of an offence punishable by imprisonment during this period. If a person is convicted of an offence, which was committed in the crime-free period, but the conviction is not incurred until after the crime-free period, the spent conviction may be revived and will not become spent again until the offender has achieved the relevant crime-free period in respect of the later offence. The effect of conviction becoming spent is that:

- the convicted person is not required to disclose any information concerning the spent conviction;
- any question concerning criminal history is taken only to apply to unspent convictions;
- references in Acts or statutory instruments to convictions or character or fitness does not include spent
  convictions, and it is an offence to disclose information regarding spent convictions; it is unlawful for a person
  who has access to a person's criminal record held by a public authority to disclose a spent conviction; it is
  unlawful for a person to fraudulently or dishonestly obtains information about a spent conviction from records
  kept by a public authority.

### **Tasmania**

Under the Annulled Convictions Act 2003 (Tas) a conviction is annulled upon completion of the prescribed period of good behaviour. This period is:

- 10 years where the offender was an adult at the time of conviction; or
- 5 years where the offender was a juvenile at the time of conviction.

A person is taken to be of good behaviour for the required period if, during that period, he or she is not convicted of an offence punishable by a term of imprisonment. If the person is so convicted, the qualifying period (for the original offence) starts to run from the date of the subsequent conviction. A subsequent traffic conviction is only taken into account for prior traffic offences (except more serious traffic offences which cause injury or death).

Only "minor" convictions can become annulled. A minor conviction is a conviction other than one for which a sentence of imprisonment of more than 6 months is imposed, a conviction for a sexual offence or a prescribed conviction. A minor conviction is also annulled if the offence ceases to be an offence. Once an offence is annulled the convicted person is not required to disclose any information concerning the spent conviction. Any question concerning criminal history is taken only to apply to unspent convictions, and references in Acts or statutory instruments to convictions or character or fitness do not include spent convictions. An annulled conviction or the non-disclosure of the annulled conviction is not grounds for refusing the person any appointment, post, status or privilege or revoking any appointment, post, status or privilege.

- a person is not required to disclose the existence of the conviction;
- questions relating to convictions and a person's criminal record will be taken only to apply to unspent convictions;
- it is unlawful for another person to disclose the existence of a spent conviction except as authorised by the Act;
- spent convictions are not to be taken account in

### PROVISION OF FALSE OR MISLEADING INFORMATION

You are asked to certify that the personal information you have provided on this form is correct. If it is subsequently discovered, for example as a result of a check of police records, that you have provided false or misleading information, you may be assessed as unsuitable or, if already employed, may lead to your dismissal.

It is a serious offence to provide false or misleading information.



# **Undertaking/Declaration Form**

# Occupational Assessment, Screening and Vaccination Against Specified Infectious Diseases

- 1. This form must be completed by all new workers, students and existing staff applying for new positions or undergoing vaccination and screening requirements outlined in the NSW Health Occupational Assessment, Screening and Vaccination against Specified Infectious Diseases Policy Directive (the "policy directive"). This includes volunteers/facilitators/contractors (including visiting medical officers and agency staff) who provide services for or on behalf of NSW Health.
- 2. Category A workers as defined in the policy directive must complete:
  - · each part of this document; and
  - each part of the Tuberculosis (TB) Assessment Tool; and
  - provide evidence of protection which may include a <u>NSW Health Vaccination Record Card for Category A Workers</u> and <u>Students</u>; and
  - provide evidence of protection for COVID-19 as specified in Appendix 1 of the policy directive Evidence of protection; and
  - provide evidence of protection (serological and/or vaccination) for other requirements as specified in Appendix 1
     Evidence of protection; and
  - return these forms to the health facility with their application/enrolment or before attending their first clinical placement. (Parent/guardian to sign if student is under 18 years of age).

Category A workers will only be <u>permitted to commence employment/attend clinical placements</u> if they have submitted this form, have evidence of protection as specified in Appendix 1 *Evidence of protection* and submitted the <u>Tuberculosis</u> (TB) Assessment Tool.

Failure to complete outstanding hepatitis B, TB or COVID-19 vaccination requirements within the appropriate timeframe(s) will result in suspension from further clinical placements/duties and may jeopardise their course of study/ work/ employment.

- 3. Category B workers as defined in the policy directive must complete:
  - · each part of this document; and
  - provide evidence of protection for COVID-19 as specified in Appendix 1 of the policy directive Evidence of protection; and
  - return this form to the health facility with your application/enrolment. (Parent/guardian to sign if student is under 18 years of age).

Category B workers will only be <u>permitted to commence employment/attend placements</u> if they have submitted this form and have evidence of COVID-19 protection as specified in Appendix 1 of the policy directive *Evidence of protection*.

Failure to complete outstanding COVID-19 vaccination requirements within the appropriate timeframe(s) will result in suspension from further placements/duties and may jeopardise their course of study/ work/ employment.

- **4.** The **recruitment agency/education provider** must ensure that all persons whom they refer to a NSW Health agency for employment/clinical placement have completed these forms, and forward the original or a copy of these forms to the NSW Health agency for assessment.
- 5. The NSW Health agency must assess these forms along with evidence of protection specified in this policy directive.

1

# **Undertaking/Declaration Form**



Part	Undertaking/Declaration (tick the applicable option)		
1	I have read, understand and agree to abide by the requirements of the NSW Health Occupational Assessment, Screening and Vaccination against Specified Infectious Diseases Policy		
	a. I consent to assessment and I undertake to participate in the assessment, screening and vaccination process and I am not aware of any personal circumstances that would prevent me from completing these requirements, (OR)		
2	b. (For existing workers only) I consent to assessment and I undertake to participate in the assessment, screening and vaccination process; however I am aware of medical contraindications that may prevent me from fully completing these requirements and am able to provide documentation of these medical contraindications. I request consideration of my circumstances.		
	I have provided evidence of protection for hepatitis B as follows (Category A workers only):  a. history of an age-appropriate vaccination course, and serology result Anti-HBs ≥10mIU/mL OR		
	b. history of an age-appropriate vaccination course and additional hepatitis B vaccine doses, however my serology result Anti-HBs is <10mIU/mL (non-responder to hepatitis B vaccination) <b>OR</b>		
	c. documented evidence of anti-HBc (indicating past hepatitis B infection) or HBsAg+ OR		
3	d. I have received at least the first dose of hepatitis B vaccine (documentation provided) and undertake to complete the hepatitis B vaccine course (as recommended in <i>The Australian Immunisation Handbook</i> , current edition) and provide a post- vaccination serology result within six months of my initial verification process OR.		
	e. I have provided evidence of a medical contraindication to hepatitis B vaccine (e.g. letter from a doctor); AND.		
	f. I have been informed of, and understand, the risks of infection, the consequences of infection and management in the event of exposure (refer Appendix 6 Specified Infectious Diseases: Risks and Consequences of Exposure) and agree to comply with the protective measures required by the health service and as defined by PD2017_013 Infection Prevention and Control Policy.		
	I have provided COVID-19 vaccination evidence as follows (Category A workers only):     a. Evidence of 3 doses of a Therapeutic Goods Administration (TGA) approved or recognised COVID-19 vaccine (in accordance with ATAGI minimum intervals); OR		
4	b. Evidence that I have received at least two doses of a TGA approved or a recognised COVID-19 vaccine and will complete the required 3 dose schedule with a TGA approved COVID-19 vaccine, within the dosing time frame stipulated by the Australian Technical Advisory Group on Immunisation (ATAGI) and will provide evidence of completed vaccines within 6 weeks of the dose 3 due date; OR		
	c. I have provided evidence of a temporary or permanent medical contraindication to all the available TGA approved COVID-19 vaccines, in the form of an Australian Immunisation Register (AIR) - immunisation medical exemption form (IM011). I understand that if the medical contraindication is temporary, I must be reviewed by the date specified on the Medical Contraindication Form; OR		
	d. I have provided evidence of two doses of a TGA approved or recognised COVID-19 vaccine and agree to comply with <u>all</u> other risk mitigation strategies as directed, while working in a Category A position.		
	I have provided COVID-19 vaccination evidence as follows (Category B workers only):  a. Evidence of 2 doses of a Therapeutic Goods Administration (TGA) approved or recognised COVID-19 vaccine (in accordance with ATAGI minimum intervals); OR		
5	b. I have provided evidence of a temporary or permanent medical contraindication to all the available TGA approved COVID-19 vaccines, in the form of an Australian Immunisation Register (AIR) - immunisation medical exemption form (IM011). I understand that if the medical contraindication is temporary, I must be reviewed by the date specified on the Medical Contraindication Form.		
Declara	ation: I, declare that the information provided is cor	rect	
Full naı	me Worker cost centre (if available)		
Parent	/guardian name Parent/guardian signature		
(for work	ers/students under 18 years)		
D.O.B	Worker/Student ID (if available):		
Medica	re number Position on card Expiry date		
Email [			
NSW H	lealth agency / Education provider		
Signatı	ure Date		



# Tuberculosis (TB) Assessment Tool

Your Personal Information		
Family Name Given Name(s)		
Date of Birth Phone Number		
Medicare Number [if eligible] Position on card [number next to your name] Expiry Date		
Address		
Franil		
Email		
Employer/Education Provider Stafflink/Candidate ID		
etarrimi, odridate iz		
Course/Module of Study OR Place of Work		
Signature Date of complet	ion of tool	
Please complete all questions in Parts A, B and C.		
Part A: Symptoms requiring investigation to exclude active TB disease		
Do you currently have any of the following symptoms that are not related to an existing diagnosis or	_	
condition that is being managed with a doctor?	Yes	No
1. Cough for more than 2 weeks?	$\circ$	0
2. Episodes of haemoptysis (coughing blood) in the past month?	0	0
3. Unexplained fevers, chills or night sweats in the past month?	0	0
4. Significant* unexpected weight loss over the past 3 months?  *loss of more than 5% of body weight	$\bigcirc$	0

# Tuberculosis (TB) Assessment Tool



Family Name			iven Name(s)				
Sta	fflink/Candidate ID						
Pa	art B: Previous TB treatme	ent or TB scr	eening or increase	d susceptibility		Yes	No
На	ave you ever been treated fo	or active TB di	sease or latent TB i	nfection (LTBI)?			
If Y	es, please state the year and countr	_	treated and provide docu	mentation (if available)		$\bigcirc$	
Te	aı	Country				0	
	ave you ever had a positive 7		ST) or blood test (IC	GRA or QuantiFERON	TB Gold+)?	0	0
Ha	ave you ever had a chest X-r	ay that was r	eported as abnorma	l?		0	0
Ha	ave you ever been referred t	o or reviewed	in a TB service/che	st clinic in Australia?		0	0
	you have any medical cond					$\bigcirc$	
	cancer, HIV, auto- immune conditions						
e.g	TNF alpha inhibitors, high dose pre ase provide details here:		ippress your illilliuli	e system:		$\bigcirc$	0
	ade provide detaile here.						
_	+ 0 TD : 1 1:				l		1
Pa	rt C: TB exposure risk his	story					
Th	e following questions explor	re possible ex	posure to TB at any t	ime in your life (or since	e last TB Asse	essment)	
1.	Have you had direct conta	ct with a pers	son with infectious p	ulmonary TB and did r	not	Yes	No
	complete contact screeni	ng?				O	O
2.	In what country were you	born?					
	If born overseas, when did	l you migrate	to Australia?				
3.	Is your country of birth on	the list of hig	h-TB-incidence cou	ntries?		Yes	No
For the up-to-date list of high TB incidence countries, please go to https://www.health.nsw.gov.au/Infectious/tuberculosis/ Pages/high-incidence-countries.aspx			0	0			
3a. If Yes, as part of your visa medical assessment, did you have a negative TB skin test (TST) or blood test (IGRA or QuantiFERON TB Gold+)?  *If yes, please provide a copy of the result			0	0			
4.	Have you ever visited or liv	-	•			0	0
	Country visited	Year	Duration of stay	Country visited	Year of	Duratio	n of stay
		of travel	(please specify d/w/m)		travel	(please spe	ecify d/w/m)
1							

## Tuberculosis (TB) Assessment Tool



Famil	ly Name	Given Name(s)
Staff	ilink/Candidate ID	
Othe	er relevant information to assist with determining	g TB risk
E.g.	pre-migration TB screening - CXR reported as norma	al and negative IGRA on
Date		
	orkers and students need to submit this form to their	
	ation providers must forward this form to the NSW He	
		ne whether TB screening or TB clinical review is required.
	TB Services contact details: ://www.health.nsw.gov.au/Infectious/tuberculosis/Pag	ges/accessing-vour-local-TR-service asny
Privacy current stored, Health meet th	/ Act 2002. NSW Health is collecting your personal information to mee t Occupational Assessment Screening and Vaccination Against Specif and reasonable steps will be taken to keep it accurate, complete and officers or third parties unless the disclosure is authorised or required	ed by NSW Health is handled in accordance with the Health Records and Information et its obligations to protect the public and to provide a safe workplace as per the ified Infectious Diseases Policy Directive. All personal information will be securely tup to date. Personal information recorded on this form will not be disclosed to NSW d by or under law. If you choose not to provide your personal information, you will not ealth protects your personal information, or to learn about your right to access your
For	Official Use of NSW Health Agency or NSW TB S	Service
		pport Tool for guidance on documenting outcomes from this
	Assessment:	
0	TB Compliant	
0	Advice sought from local TB service/chest clinic	
0	TB Screening required – referred to GP or local TB se	service/chest clinic
0	TB Clinical Review required – referred to local TB ser	ervice/chest clinic
0	Other	
Nam	ne of assessor	Contact Number
LHD	/Service	Date of assessment

### PRE EMPLOYMENT HEALTH ASSESSMENT

# **PART A: PERSONAL DETAILS** Surname: \_\_\_\_\_ First Name/s: \_\_\_\_\_ Postcode: Phone No (Home): \_\_\_\_\_ (Mobile): \_\_\_\_\_ (Work): \_\_\_\_\_ Present Employee: Yes / No Employee Number: Yes / No Number: \_\_\_ **PART B: POSITION DETAILS** Position applied for: \_\_\_\_\_\_ Recruitment No: \_\_\_\_\_ Hospital/Facility: \_\_\_\_\_ Ward/Dept: \_\_\_ PART C: GENERAL HEALTH SCREENING 1. Have you been involved in any motor vehicle accidents resulting in personal injury? Yes / No 1a. Please give details of motor vehicle accidents or Third Party claims relating to injuries sustained: Date of injury: \_\_\_\_\_ Insurer: Nature of Injury/Illness: 2. Have you ever lodged a claim for workers compensation? Yes / No If Yes, Please give details including date, injury and employer at the time of claim: Date of Injury:\_\_\_\_\_ Employer: \_\_\_\_ Nature of Injury/ Illness:

3. Have you suffered back pain or strain injury (including back surgery)? Yes / No
If yes, please give details:

4. Have you suffered from shoulder, neck or arm strain? If yes, please give details: Yes / No
5. Have you had a full medical clearance for any injury identified in questions 1, 2, 3 or 4? Yes / No
Please give details:
6. Are you receiving any ongoing treatment for injuries identified in questions 1, 2, 3 or 4? Yes / No  If Yes, please give details:
<ol> <li>Do you suffer from any medical condition (including physical, psychiatric, psychological) for which you a receiving treatment? Yes / No</li> </ol>
If Yes, please give details:

## 8. Have you ever had or do you have, any of the following?

	YES / NO	If Yes, is it current?
Lower back, neck or thoracic spinal pain?	Yes / No	Yes / No
Sciatica?	Yes / No	Yes / No
Wrist or elbow pain or weakness?	Yes / No	Yes / No
Tenosynovitis, carpel tunnel or RSI?	Yes / No	Yes / No
Arthritis, rheumatism or painful joints or other musculoskeletal pain?	Yes / No	Yes / No
Any broken bones or torn cartilage?	Yes / No	Yes / No
Scars/deformations which may restrict physical movement?	Yes / No	Yes / No
Hernia?	Yes / No	Yes / No
Diabetes?	Yes / No	Yes / No
Epilepsy, dizzy/giddy/fainting spells, blackouts or neurological disorder?	Yes / No	Yes / No
Mental health condition including severe anxiety and depression?	Yes / No	Yes / No
High blood pressure, chest pain or heart or circulatory trouble?	Yes / No	Yes / No
Asthma, chronic bronchitis or other chest problems?	Yes / No	Yes / No
Auto Immune Disease or on immunosuppressant medication?	Yes / No	Yes / No
Nail infections, or chronic skin infections?	Yes / No	Yes / No
Eczema, dermatitis, hives or other skin rashes or complaints?	Yes / No	Yes / No
Allergic or adverse reaction to any medicines, vaccinations, insect bites, animal fur, bandaids, rubber or latex products and/or foods?	Yes / No	Yes / No
Any problems with vision or hearing?	Yes / No	Yes / No
Any other serious illness?	Yes / No	Yes / No
Current health problems, illness or injury related to any previous employment?	Yes / No	Yes / No

If you answered <b>YES</b> to any of the above conditions, please give details (eg year, diagnosis, treatment, medication etc):

### PART D: PAST OCCUPATIONAL EXPOSURES

Have you been exposed to or monitored for any of the following?

	YES / NO	YEAR &
		PLACE
a. Cytotoxics	Yes / No	
b. Glutaraldehyde	Yes / No	
c. Ethylene oxide	Yes / No	
d. Asbestos	Yes / No	
e. Pesticides	Yes / No	
f. Lead	Yes / No	
g. Solvents	Yes / No	
h. Excessive noise or required to wear hearing protection	Yes / No	
i. Have you had previous hearing testing?	Yes / No	
j. Have you had previous health screening / medicals for exposure to asbestos or work-related dust?	Yes / No	

### **PART E: HEALTH DECLARATION**

I hereby declare that the above statements and answers are true and correct to the best of my knowledge. I have read the inherent requirements for the position I am applying for and I understand and can fulfil these requirements.

I agree to the release of medical information to my prospective employer that directly relates to my ability to carry out the tasks adequately and safely in this position.

I am aware that any false or misleading statements may impact on my appointment or continued employment.

Print Name:	
Signature of Applicant:	
Employee Number (if known):	
Date://	

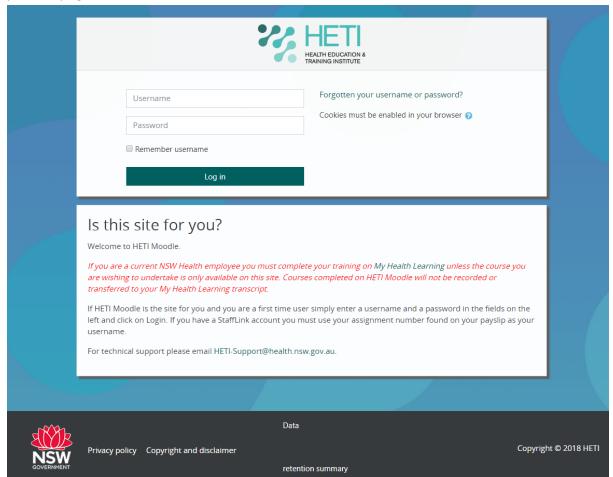




# NSW Health Online Learning Centre Setting up an Account

Step 1: Goto <a href="http://elearning.heti.nsw.gov.au">http://elearning.heti.nsw.gov.au</a>

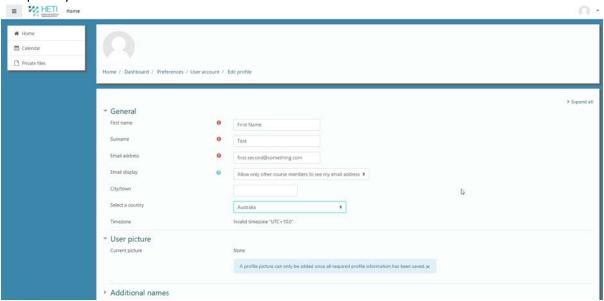
**Step 2:** Type an easy to remember username and password in the login section of the page. If the username is already being used by another user an error message will appear saying "Invalid login, please try again".



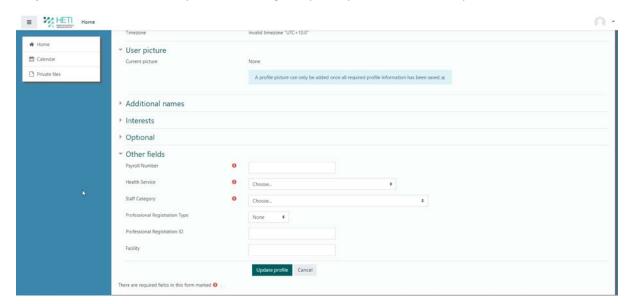




**Step 3:** Fill out the required details. Questions marked with a red exclamation mark (!) are compulsory.



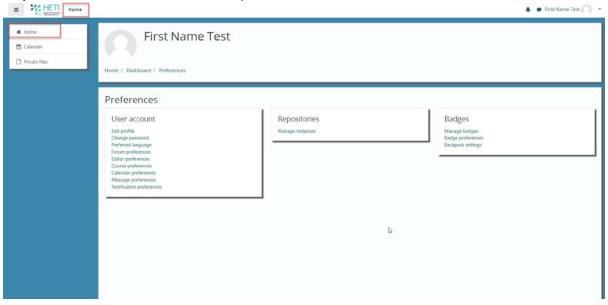
**Step 4:** Scroll down and complete remaining compulsory fields and click "Update Profile" button.



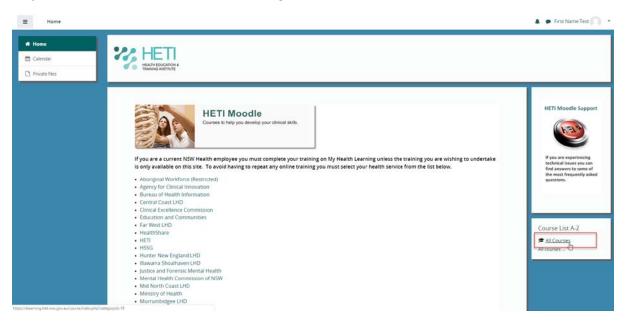




Step 5: Click the Home button on the top left corner



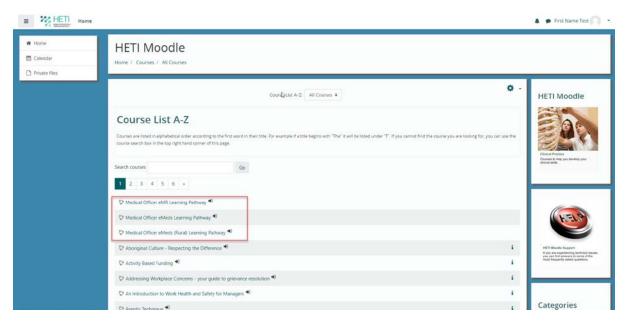
Step 6: Click All Courses link on the Home Page



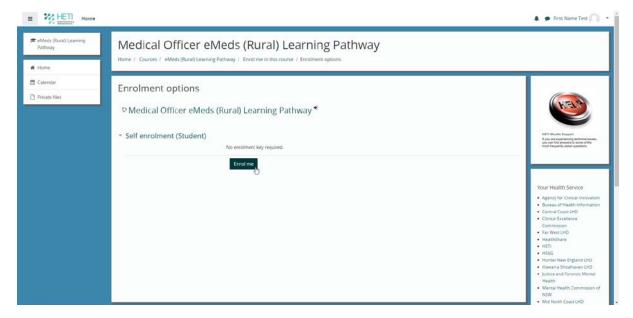




**Step 7:** Click the desired course link.



**Step 8:** Click "Enrol me" button to enrol yourself in the desired course.



You will now be redirected to the course.

Please note some of these courses need Flash Player, please use Microsoft Internet Explorer with Flash Player to access the modules.

If you face any issues or difficulties, please contact State Wide Service Desk by calling 1300285533.

# Recruitment, Selection and Appointment in the NSW Public Health System Web Tool 1.7

## Model Health Declaration form

Name:
Address:
Position:
Duties of the Position:
I have read the inherent job requirements and job demands for the position and these requirements have been explained to me. I have ticked the appropriate statement below:
☐ I am not aware of any health condition which might interfere with my ability to perform the inherent job requirements and job demands of this position.
☐ I have a health condition that may require the employer to provide me with services or facilities (adjustments) so that I can successfully carry out the inherent job requirements and job demands of the position.
☐ I understand that adjustments to the workplace can be made to assist employees with disabilities in carrying out the inherent job requirements and job demands of the position. Any adjustments I need have been discussed with the organisation prior to completing this health declaration.
☐ I no longer wish to be considered for this position.
I am aware that any false or misleading statements may threaten my appointment or continued employment.
Signature: Date:

This information will be used in determining your ability to meet the inherent requirements of the position and any workplace adjustments that may be required, and stored together with your application.



- 4.5.1 Keep confidential all personal information and records, including not discussing or providing information on social media that could identify patients or divulge patient information
- 4.5.2 Not use or release official information or records without proper authority
- 4.5.3 Maintain the security of confidential and / or sensitive information, including that stored on communication devices
- 4.5.4 Not disclose, use or take advantage of information obtained in the course of official duties, including when they cease to work in NSW Health.

### 4.6 Maintain professional relationships with patients or clients.

### Staff must:

- 4.6.1 Not take an unfair advantage of, or exploit any relationship with, patients or clients in any way, including not engaging in on-line friendships with patients or clients via social media; staff may accept patients and clients as members of their professional pages that contain information relating to the professional practice of the staff member
- 4.6.2 Not have any sexual relationship with a patient or client during a professional relationship.

I have read and understood the above Code of Conduct, and agree to comply with its provisions at all times while working in NSW Health.

By signing this Code I acknowledge my commitment to achieving the best outcomes for patients and playing my part in ensuring that my working environment is safe and supportive.

 Print name
 Signature
 Date

PD2015 049 Issue date: December-2015 Page 9 of 9

# Recruitment, Selection and Appointment in the NSW Public Health System Web Tool 1.6

# Standard consent form: employment related checks

_	authority for the following employment related checks to be undertal Health policy:	ken, in line with the requirements of
	Obtain relevant information from the NSW Health Care Complaint registration/licensing authorities relating to any conditions placed outstanding complaints and whether there is any pending disciplinate	on practice, the nature of any
	Referee checks, including a referee check with my current supervisi	sor
	Additional past performance checks (for medical appointments)	
	Obtain confirmation of membership of professional association (w	here required)
	stand that my consent to the above checks is required for my applicated W Health Service.	ation to be considered by an employer in
	tion I have completed the necessary consent forms for employment working with children check).	screening (national criminal record
Signati	ure	Date

## TO BE COMPLETED BY MEDICAL PRACTITIONERS, DENTISTS, PHARMACISTS, NURSES

Under the provisions of the Poisons and Therapeutic Goods Regulation 1994 I declare that my authority as a medical
practitioner/dentist/pharmacist/nurse to be in possession of, prescribe, supply, dispense or administer drugs of addiction
(Schedule 8 of the NSW Poisons List), as the case may be, has not been withdrawn by the Director-General, NSW Health
Department.

SIGNATURE:	DATE:/
------------	--------



Comments

Author: Business Process Coordinator	Document ID: FM180003
Approved by: Business Process Manager	Version: 1.1
Modified: 5 April 2018	Published: 1 February 2018

# VISITING MEDICAL OFFICER INPUT FORM Sessional

PART A Pers	onal [	Details								
Surname				Given N	lame/s					
Address										
Date Of Birth				StaffLin	ık Number					
Email Address				Tax File	Number					
Mobile Number				Other C	Contact Nu	mber				
Medical Registration	on Num	iber								
PART B Ban	k Acco	ount Details								
Account Name										
BSB				Accoun	t Number					
PART C Trad	ling De	<b>etails</b> Australian Bu	usiness Number							
appointed under a second contracts be made Individual Sole Trade	ervice cor ide involvi er: Where	gements can only be offered ntract with a practice compar ing a trust arrangement. e a VMO contracts as an indiv	d to VMOs who operate ny which is conducted vidual e.g. Dr P Smith,	or controlled b	by a number	of medical prac	ctitioners an	d/or non- medic		
Entity Type	Plea	ase select applicable er	ntity type							
Entity Name										
ABN				Registe	red For GS	ST Ye	es 🗌	No 🗌		
PART D Supe	erannı	uation (Select eitl	her 1, 2 or 3)	Applicable	e only wit	n Individual	Sole Trad	der Contract	/ABN	
	ount c	on my behalf with N	SW Health defa	ult fund F	irst State	e Super	Yes	□ No □	<u></u>	
2. APRA Fund										
Fund Name				3.4 - mala o	N bon					
Fund ABN	Histor (	LICI) Complete by your Co			r Number					
3. Self-Manage		USI) Supplied by your Su	uperannuation Fund							
Fund Name	eu i ui	iu								
Fund ABN			Email Addres	cc						
BSB			Account Num							
Account Name			Account Hen	IDCI						
Address										
Electronic Service Address (ESA)										
Supplied by your acc		t, fund administrator or fi	nancial institution							
VMO Signature					Date					
PART E Cont	ract A	<b>Appointment</b> Me	edical Administra	tion to cor	nplete					
Local Health Distri	ict	Please select applica	ble LHD							
Classification		Please select applical	ble classification							
Specialty				StaffLink	Number					
Appointment Date	<u> </u>			Terminati	ion Date					
Live Within 50km	Of Reg	ional Facility	Yes No No	Annual Co	ontracted	Hours				
Facility Cost Centr	e/s									
Medical Administra	ation N	ame		-	С	ontact Num	ber		-	
Medical Administra	ation Si	gnature			D	ate				
		Email to VMO Te	am. HealthSha	are NSW	HSNSW-	-VMOpro	cessing	@health.r	sw.gov.	au
VMONEY PROCESSING	G OFFICE	USE ONLY New VMC	O Existing V	/мо 🗌						
VMO Code/s							Uniq	ue ID		
VMO Vendor Numbe	er		VMO Site				Site	Change	Yes	☐ No
Super Vendor Numb	er		Super Site				Site	Change	Yes	☐ No
Super Payment Code	е		Sacrifice Payment	Code			Adde	ed To CH	Yes	□ N/A
Checked By					Date	Checked				
Processed By					Date	Processed				



Author: Business Process Coordinator	Document ID: FM180004
Approved by: Business Process Manager	Version: 1.0
Modified:	Published: 1 February 2018

# **VISITING MEDICAL OFFICER INPUT FORM Superannuation Election Form**

	-				
PART A Personal Details					
Surname		Given Name/s			
Date Of Birth (dd-mmm-yy)		StaffLink Number			
Mobile Number		Other Contact Number			
Medical Registration Number		Tax File Number			
Complete <u>ALL</u> questions in PART B or C unless nominating an account to be created with First State Super on your behalf *Please note Superannuation is only applicable with an individual/Sole Trader ABN on a Sessional contract					
I give permission for Healthshare	NSW to create an account on my behalf wit	n NSW Health default fund First	State Super Yes		
PART B APRA Fund Deta	ils				
APRA Fund Name					
APRA Fund ABN					
Member/Policy Number					
Unique Superannuation Identifier	(USI) Supplied by superannuation fund				
PART C Self-Managed Fu	nd Details				
Self-Managed Fund Name					
Self-Managed Fund ABN					
Self-Managed Fund Address					
Email Address					
Bank Account Name					
Bank Account BSB	Bank Account Numb	er			
Electronic Service Address (ESA)	Supplied by SMSF Administrator, Accountant or Ba	ank			
If Modifying my Superannuation to any Salary Sacrifices I current	I give permission to extend this update y have in place	Yes No No			
VMO Signature		Date (dd-mmm-yy)			
FORM SUBMISSION					
Email to <a href="https://www.gov.au">HSNSW-VMOSuper@health.nsw.gov.au</a> <a href="https://www.gov.au">VMO PROCESSING TEAM - SHARED FINANCIAL SERVICES — HEALTHSHARE NSW Telephone 1300 883 962</a> <a href="https://www.gov.au">Telephone 1300 883 962</a>					

VMONEY PROCESSING OFFICE USE ONLY						
VMO Code/s					Unique ID	
Super Vendor Number			Super Vendor Site		Payment Code	
Sacrifice Vendor Number			Sacrifice Vendor Site		Payment Code	
SMF Added To CH	Yes 🗌	N/A 🗌	Added By		Checked By	
Checked By				Date Checked (dd-mmm-yy)		
Processed By				Date Processed (dd-mmm-yy)		
Comments						
	-					

## VMO Hint Sheet to complete the Sessional Input Form

Please make sure <u>ALL</u> section of this form is completed, checked, signed and dated by the VMO and Medical Administration prior to forwarding to HealthShare, <u>Incomplete form will delay the set up process</u>

- \* Check all VMO details are complete
- \* ABN follows the contract ABN look up: <a href="http://www.abr.business.gov.au/">http://www.abr.business.gov.au/</a>
- \* Withholding Tax will be applied, if **NO ABN** details are provided
- \* Super details are completed for sole trader contract
- \* Appointment & Termination date need to match the dates on the contract

### VMO to Complete:

### PART (A) PERSONAL AND PROFESSIONAL DETAILS

I AKT (A) I EKSONAL AND I KOT	ESSIGNAL DETAILS
SURNAME	Enter your Surname
GIVEN NAMES	Enter your given names
MAILING ADDRESS	Enter your mailing address
HOME ADDRESS	Home address if different to mailing address
MEDICAL REGISTRATION NO.	Current Medical Registration number
TAX FILE NUMBER	Enter your Tax File Number
DATE OF BIRTH	Enter your Date of Birth
CONTACT FOR PAYMENT INFORMATION	Enter your current
НОМЕ	home phone number
WORK	work phone number
MOBILE	mobile number
FAX NUMBER	fax number
EMAIL ADDRESS	email address

### PART (B) BANKING DETAILS

BANK ACCOUNT	Enter banking details for the payment
BSB	
ACCOUNT NO	
ACCOUNT NAME:	
BRANCH	

### PART (C) TRADING DETAILS

☐ INDIVIDUAL/SOLE TRADER (PART D) OR	Select one of the following:
, , ,	Registered as individual sole trader – Part D needs to
☐ COMPANY / SOLE DIRECTOR (PART E)	be completed (Super details)
	Registered as a company and should be the sole
	director of the company – continue to Part E (not
	entitled to Superannuation)

### PART (D) INDIVIDUAL/SOLE TRADER

	., .,
AUSTRALIAN BUSINESS NUMBER (ABN)	Enter ABN - ABN Name must identify the individual as a Sole Trader and match the Name as provided in Part (A) EG. DR P SMITH The name of the individual and ABN will appear on your remittance advice and recipient created tax invoice (RCTI)
FOR GST (tick box) ☐ YES ☐ NO	Select if registered for GST or not
SUPERANNUAITON DETAILS	(Individual/Sole Trader)
Superannuation Fund Name	Enter Superannuation Fund Name
ESA* for SMSF	Enter ESA if it's SMSF
USI* for APRA	USI for APRA funds
Membership/Policy No.	Enter membership or Policy Number
Bank Account Details BSB	Enter Superfund Banking details for your
Account No.	Superannuation payments.
Branch	
Account Name	
Contact Number	

PART (E) SOLE PRACTICE COMPANY

REGISTERED NAME PRACTICE COMPANY NAME – this will appear on your remittance advice	Enter your Sole Practice Company Name
AUSTRALIAN BUSINESS NUMBER (ABN)	Enter ABN - ABN Name must identify the Sole Practice Company and match the name provided in Part (A) EG. DR P SMITH PTY LTD The name of the sole practice company and ABN will appear on your remittance advice and recipient created tax invoice (RCTI)
FOR GST (tick box) ☐ YES ☐ NO	Select if registered for GST or not
VMO Signature	Need to sign the form

**Facility Medical Administration to Complete:** 

LOCAL HEALTH DISTRICT :	Enter the LHD & Facility (preferred) name
StaffLink ID:	Enter VMO StaffLink ID – need to have an
Startenik 15.	
	assignment in StaffLink as contingent work for
ADDOINTMENT DATE.	the relevant LHD
APPOINTMENT DATE:	Enter contract start date as per the date
	on the contract
TERMINATION DATE:	Enter contract end date as per the date
	on the contract
TYPE OF CONTRACT (Sessional)	Enter contract type
ANNUAL CONTRACTED HOURS:	Enter annual hours only If applicable
SPECIALTY	Enter VMO's speciality
Live within 50km of Facility Y/N	This only applies to Regional Hospital
(Regional Hospital Only)	and VMO lives within 50km of the facility
CLASSIFICATION (Please tick box)	Select VMO's classification from the list below.
A – Specialist Non Surgeon – Senior	
B – Specialist Non Surgeon	
C/D – General Practitioner > 5 years	
E – General Practitioner < 5 years I	
F – Specialist Surgeon – Senior	
G – Specialist Surgeon	
Radiologist	Dental – please specify dental
Denta	classification
COST CENTRE	
	Enter default cost centre
Approved Medical Administration:	Need to checked and approved by Medical
	Administration
Date	Enter date checked and approved.



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PO Box 276, Double Bay, NSW 1360 Phone: (02) 9327 7555 Fax: (02) 9327 1855 judith@activelocums.com.au

### Links to NSW Health Policies – 21/04/23

(Please note updated policy directives in red)

- a) PD2015\_049 NSW Health Code of Conduct https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2015\_049.pdf
- b) PD2018\_013 Workplace Health and Safety: Better Practice Procedures https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2018 013.pdf
- c) PD2022\_030 PD2022\_030 Occupational Assessment Screening and Vaccination against Specified Infectious Diseases. https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2022 030.pdf
- d) PD2019\_003 Working with Children Checks and Other Police Checks https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2019\_003.pdf
- e) PD2019\_027 Employment Arrangements for Medical Officers in the NSW Health Service https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2019\_027.pdf
- f) PD2017\_040 Recruitment and Selection of Staff to the NSW Health Service https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2017\_040.pdf
- g) PD2021\_017 Service Check Register for NSW Health https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2021\_017.pdf
- h) PD2012\_046 Remuneration Rates Payable to Non-Specialist Staff Short Term/Casual (locum) http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2012\_046.pdf
- i) PD20022\_003 Monitoring and Managing Health Practitioners' Compliance with Conditions on Registration https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2022\_003.pdf
- j) PD2015\_045 Conflicts of Interest and Gifts and Benefits http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2015\_045.pdf
- k) PD2009\_057 Records Management Policy http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2009 057.pdf
- I) PD2017\_013 Infection Prevention and Control Policy http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2017\_013.pdf
- m) PD2017\_010 HIV, Hepatitis B or Hepatitis C Management of Health Care Workers Potentially Exposed http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2017\_010.pdf
- n) PD2019\_026 Management of Health Care Workers with a Blood Borne Virus and those doing Exposure Prone Procedures https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2019\_026.pdf
- o) PD20120\_018 Recognition and Management of Patients who are Deteriorating https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2020\_018.pdf
- p) PD2018\_032 Managing Complaints and Concern about Clinicians https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2018\_032.pdf







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- q) PD2013\_007 Child Wellbeing and Child Protection Policies and Procedures for NSE Health <a href="https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2013">https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2013</a> 007.pdf
- r) PD2017\_043 Violence Prevention and Management Training Framework for NSW Health Organisations <a href="https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2017\_043.pdf">https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2017\_043.pdf</a>
- s) PD2020\_047 Incident Management
  https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2020\_047.pdf
- t) Privacy Manual
  - $\underline{http://www.health.nsw.gov.au/policies/manuals/Documents/privacy-manual-for-health-information.pdf}$
- u) GL2007\_023 Fatigue Preventing and Managing Work Related Fatigue: Guidelines http://www1.health.nsw.gov.au/pds/ActivePDSDocuments/GL2007\_023.pdf
- v) PD2019\_054 NSW Health My Health Record Security and Access

https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2019 054.pdf

w) PD2019\_050 Electronic Medication Management System Governance and Standards

https://www1.health.nsw.gov.au/pds/ActivePDSDocuments/PD2019\_050.pdf







## **Confidentiality and Privacy Policy**

Policy Updated: 20 November 2022

### Privacy Protocol

The staff at Active Locums Pty Ltd are aware of privacy issues and are committed to upholding the highest levels of privacy for your protection. The following information is a comprehensive outline of the agency's privacy protocol.

The Australian Privacy Principles (APPs) have replaced the National Privacy Principles. Active Locums Pty Ltd have amended the agency's current policy to incorporate and to become compliant with the new APPs numbers 1-13, under the new *Privacy Amendment (Enhancing Privacy Protection) Act 2012*. For the latest version of these Acts, please see the links page on the Active Locums Pty Ltd website or visit the ComLaw website: www.comlaw.gov.au.

- 1. APP 1 Open and transparent management of personal information
- 2. APP 2 Anonymity and pseudonymity
- 3. APP 3 Collection of solicited personal information
- 4. APP 4 Dealing with unsolicited personal information
- 5. <u>APP 5 Notification of the collection of personal information</u>
- 6. <u>APP 6 Use or disclosure of personal information</u>
- 7. APP 7 Direct marketing
- 8. <u>APP 8 Cross-border disclosure of personal information</u>
- 9. APP 9 Adoption, use or disclosure of government related identifiers
- 10. APP 10 Quality of personal information
- 11. APP 11 Security of personal information
- 12. APP 12 Access to personal information
- 13. APP 13 Correction of personal information

### 1 Personal Information

Personal information held by Active Locums Pty Ltd may include a locum's name, date of birth, current and previous addresses, telephone/mobile numbers, e-mail address, bank account and superannuation details, evidence of working rights such as passport, driver's licence, AHPRA Medical Registration, Medical Defence Indemnity, National Criminal Record/Working with Children Check consent forms, declarations, clearance notifications, health paperwork including vaccination and serology status, COVID vaccination certificate, personal references and clinical skills appraisals. Locums are always encouraged to make changes or update this information at any time. In order to supply locums with work, Active Locums Pty Ltd may request frequent updates of this information so that paperwork complies with legislative requirements.

Active Locums Pty Ltd accepts enquiries from individuals wishing to remain anonymous or who wish to use a pseudonym. However, due to the nature of the agency, it is not always possible for doctors to remain anonymous during the process of credentialing them for work. It is, however, possible to remain anonymous up to the point of making a genuine offer to a hospital or health facility. From that point onwards, if locums choose not to disclose their personal information, Active Locums Pty Ltd may not be able to provide them with the locum services they require, or the level of service on which the agency prides itself.

Active Locums Pty Ltd is committed to maintaining privacy and we encourage doctors to contact us should they have any questions about the management of their personal information. The agency also encourages locums to contact us if they believe that their privacy has been breached in any manner, to manage it efficiently and appropriately.

### 1.1 Collection of Personal Information

Active Locums Pty Ltd will only collect sensitive information which is relevant to the credentialing process and which locums consent to. Information about locums will only be collected from them, unless they have consented verbally or in writing to the collection of information from someone other than themselves.

How Active Locums Pty Ltd collects personal information:

- Directly from locums, when they provide information by phone, email, fax, SMS or post, or in documents such as an application form for locum work;
- From third parties such a Human Resources Department of hospitals or other organisations with locum's consent;
- From publicly available sources of information, such as AHPRA;
- From work locums may have previously done for us.

The purpose of collecting personal information:

- Applying for locum work;
- Undergoing NSW Health credentialing in application of a locum position;
- · Obtaining clinical reference checks and feedback appraisals;
- Mailing lists for the agency's communication only

Should Active Locums Pty Ltd receive unsolicited personal information, we would determine whether it is necessary for us to record, report, de-identify or discard it.

### 1.2 Use of Personal Information

Active Locums Pty Ltd uses personal information to:

- Provide doctors with locum work (for recruitment and employment purposes)
- Inform locums of ways our service provided to them could be improved;
- Conduct and or verify appropriate National Criminal Record Checks and verify Office of the Children's Guardian Working with Children Checks and/or equivalent interstate offices;
- Comply with requirements of NSW Health or NSW Government.
- Research and obtain feedback from previous employers on work performance;
- Undertake the process of auditing and for accreditation purposes with Global Mark
- Maintain business systems.

Who our agency may, with the locum's permission, disclose their personal information to:

- Hospitals registered with NSW Health;
- Private Hospitals;
- Medical Practices;
- Relevant Health Services;
- The locums' representatives (e.g. authorised representatives or legal adviser);
- Our professional advisers, including IT, insurance brokers and insurers, accountants and lawyers;

- Government and regulatory authorities, tribunals and other organisations, as required or authorised by law;
- During the process of auditing/accreditation purposes to auditors of Global Mark.

Active Locums Pty Ltd will not disclose personal information to anyone without permission unless it is required by law. Our agency is an Australian Organisation that deals with locum doctor work within Australia, so it would be highly unlikely that there would be a situation requiring us to disclose your information to overseas recipients unless a locum is an overseas trained doctor who has given Active Locums Pty Ltd written consent to acquire information from overseas.

Once locums register with Active Locums Pty Ltd they will be placed on our mailing list. This allows them access to the hospital vacancies we have advertised. Locums may choose to no longer receive our newsletters at any time by replying to our email with a request to unsubscribe.

### 1.3 Access to Personal Information

Locums have a right to access their personal information, subject to some exceptions allowed by law. If a locum would like to do so, please let us know. Locums will be required to put their request in writing for security purposes. Personal information will only be released to a locum directly, unless they grant authority for their information to be released to a third party.

### 1.4 Ensuring Accuracy of Personal Information

Active Locums Pty Ltd takes all reasonable precautions to ensure that the personal information we collect, use and disclose is accurate, complete and up to date. However, the accuracy of that information depends to a large extent on the information provided. Active Locums Pty Ltd recommends that locums:

- Let us know if there are any errors in their personal information;
- Keep us up to date with changes to personal information, such as name, address, contact telephone numbers, banking and superannuation details, ABN and/or company details;
- Report certain criminal conduct and disciplinary matters a doctor who is charged with having committed, or is convicted of, a serious sex or violence offence must, within 7 days of the charge being laid or conviction, report that fact in writing to the chief executive of the relevant organisation/Active Locums Pty Ltd.

### 2 Managing Security

Active Locums Pty Ltd keeps hard copy and scanned electronic records. Paper records are kept in a secured environment under lock and key and electronic filing is password-protected and accessible only by our office staff who are trained to handle sensitive information. All our staff have voluntarily signed a declaration that they will not disclose any information encountered in the course of their work and will uphold the Australian Privacy Principles. All information is backed-up in an encrypted state using enterprise grade software to secure storage. Any out of date files are shredded on site using a mobile document shredding company in the presence of the company director.

### 3.1 Breach Notification Policy and Procedure

Active Locums Pty Ltd is aware of the Notifiable Data Breaches (NDB) scheme of the Privacy Act, which came into effect in February 2018. This scheme requires entities to notify affected individuals and the Office of the Australian Information Commissioner (OAIC) of certain data breaches if they have contractual arrangements with the government or revenue over \$3 million.

Active Locums Pty Ltd will notify OAIC if the following criteria are met:

 There is unauthorised access to, or disclosure of personal information held by an entity (or information is lost in circumstances where unauthorised access or disclosure is likely to occur)

- This is likely to result in serious harm to any of the individuals to whom the information relates
- The entity has been unable to prevent the likely risk of serious harm with remedial action

Active Locum Pty Ltd will follow the procedure below in the case of a data breach:

- 1. Company director and IT manager are immediately notified of breach or suspected breach.
- 2. Breach is contained by IT team if possible
- 3. The time and date of the suspected breach and when it was discovered will be recorded in a Data Breach Register. The type of personal information involved, the cause and extent of the breach and the context of the affected information is also recorded.
- 4. The risks associated with the breach are identified. If the Director determines that it is an eligible breach, it will be escalated to the Office of the Australian Information Commissioner
- 5. In the case of an eligible breach, Active Locums Pty Ltd will notify all those affected
- 6. Active Locums Pty Ltd will investigate the cause of the breach and will determine whether further IT security measures are necessary to prevent future breaches.

Active Locums Pty Ltd will also uphold the regulations within the European Union General Data Protection Regulation for any EU citizens who register with the company.

### 3 Website Privacy

Active Locums Pty Ltd's web site privacy policy deals only with personal information collected by the site or with privacy issues relating to this site. Personal information is collected from the web site and electronically stored when locums register their requirements or respond to any advertised position or practice. In each case, name, email address and contact phone number is collected and stored electronically and on paper records kept in a secure locked environment.

Active Locums Pty Ltd undertakes not to give personal information to any third parties, excepting where required to do so by law (or for the purpose of law enforcement) or where a threat exists to safety or health, unless it is for the purpose of obtaining work. Our agency endeavours to ensure that all personal information held on the servers of the host of the web site is not, to the best of our knowledge, capable of being accessed by unauthorised persons, altered or lost. However, we cannot be held liable for information which has been accessed beyond our control or authority or through dishonest means.

At any time, locums have the right to enquire as to their personal information that is being held or they may wish to correct any personal information that is being held. Should locums require any information, they should contact the office.

It should be noted that by using the site, registering requirements on the site or requesting further information on a particular position, visitors are consenting to the collection and storage and use of their personal information. Active Locums Pty Ltd gives our assurance that we will endeavour to handle all information in a sensitive manner in accordance with Australian Privacy Principles.

### 4 Special Circumstances

In special circumstances, for example if Active Locums Pty Ltd sells the business or a substantial part of the business, locums' information may be transferred as part of that sale. The agency will not use their information for purposes unrelated to the services we provide, unless we first obtain your consent.

### 5 Complaints

Should locums feel that their privacy has been breached and they would like to make a complaint, please do not hesitate to let us know. If they wish to contact the agency to dispute, correct or query any information held on file, please contact Active Locums Pty Ltd:

Active Locums Pty Ltd Email: judith@activelocums.com.au PO BOX 276 Phone: (02) 9327 7555
Double Bay Mobile: 0433 004 560
NSW 1360 Fax: (02) 9327 1855

If a locum has made a complaint about a breach of privacy which has not been resolved to their satisfaction, they can make a complaint to the Office of the Australian Information Commissioner on 1300 363 992 or via other means available on their website: www.oaic.gov.au.

### 6 Policy Review

This Privacy Policy was first implemented on 3 January 2012.

Policy Updated: 13 September 2021

It will be reviewed annually. Next review: 20 November 2023